

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Attorney Docket No. 006119.00010**

In the Application of Johnston, et al. )  
Application No. 10/676,318 ) Examiner: Colbert  
Filed: October 1, 2003 ) Art Unit: 3624  
For: Order Risk Management System ) Confirmation No. 6511

**REQUEST TO CORRECT FILING RECEIPT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request the following corrections to the filing receipt mailed March 16, 2004:

The order of the inventor's reads as follows:

Scott Johnston  
John Falck  
Charlie Troxel, Jr.  
James W. Farrell  
Arjuna Ariathurai  
Agnes Shanthi Thiruthuvadoss  
David Salvadori

The order of the inventor's should be as follows:

David Salvadori  
Arjuna Ariathurai  
John Falck  
James W. Farrell  
Scott Johnston  
Agnes Shanthi Thiruthuvadoss  
Charlie Troxel, Jr.

A Supplemental Application Data Sheet is attached. Also attached is a copy of the filing receipt indicating the above corrections.

The Commissioner is authorized to charge any fee or credit to Deposit Account No. 19-0733.

Respectfully submitted,

Date: July 21, 2006

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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*CMI*

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/676,318	10/01/2003	3624	1284	6119.00010	7	39	5

**CONFIRMATION NO. 6511**

22908  
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CHICAGO, IL 60606

**FILING RECEIPT**

\*OC000000012111959\*

Date Mailed: 03/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

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~~Charlie Troxel, Jr., Naperville, IL;~~  
~~James W. Farrell, Carol Stream, IL;~~  
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~~David Salvadori, Bartlett, IL;~~

David Salvadori, Bartlett, IL  
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**Assignment For Published Patent Application**

Chicago Mercantile Exchange, Inc., Chicago, IL;

**Domestic Priority data as claimed by applicant**

This application is a CIP of 10/611,458 07/01/2003  
which is a CIP of 10/385,152 03/10/2003

**Foreign Applications****If Required, Foreign Filing License Granted: 01/29/2004****Projected Publication Date: 09/16/2004****Non-Publication Request: No****RECEIVED**

MAR 22 2004

BANNER & WITCOFF  
DOCKETING DEPT.

**Early Publication Request: No**

**Title**

Order risk management system

**Preliminary Class**

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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